

DT07 Rec'd PCT/PTO 2 6 JAN 2005

FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 4034-64
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/522601
INTERNATIONAL APPLICATION NO. PCT/JP2003/009054	INTERNATIONAL FILING DATE 16 July 2003	PRIORITY DATE CLAIMED 29 July 2002
TITLE OF INVENTION SUBSTRATE WITH PARALLAX BARRIER LAYER, METHOD FOR FABRICATING SUBSTRATE WITH PARALLAX BARRIER LAYER AND 3D DISPLAY DEVICE		
APPLICANT(S) FOR DO/EO/US IMAI et al		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ The U.S. has been elected (Article 31).
- A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - ☒ Japanese language published WO 2004/011987 is attached hereto (21 pages specification, claims & abstract (15 claims), 4 sheets drawings).
 - ☒ has been communicated by the International Bureau (copy of PCT/IB/308 is attached).
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(3)).
 - ☒ is attached hereto (33 pages specification, claims & abstract (15 claims), 4 sheets drawings).
 - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - ☐ are attached hereto (required only if not communicated by the International Bureau).
 - ☐ have been communicated by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 - ☐ Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).
- ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 To 20 below concern document(s) or information included:

- ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- ☒ A FIRST preliminary amendment.
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
- ☐ An Application Data Sheet under 37 C.F.R. § 1.76.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☐ Other items or information.

DT05 Rec'd PCT/PTO 26 JAN 2005

U.S. APPLICATION NO. 10/522601 Unknown		INTERNATIONAL APPLICATION NO. PCT/JP2003/009054		ATTORNEY'S DOCKET NUMBER 4034-64	
21. <input checked="" type="checkbox"/> The following fees are submitted:					
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):					
<input checked="" type="checkbox"/> a) Basic national fee				\$300.00 (1631)/\$150.00 (2631)	\$ 300.00
<input checked="" type="checkbox"/> b) Examination Fee				\$200.00 (1633)/\$100.00 (2633)	\$ 200.00
<input checked="" type="checkbox"/> c) Search Fee				\$500.00 (1632)/\$250.00 (2632)	\$ 500.00
TOTAL OF ABOVE CALCULATIONS				\$1000.00/\$500.00	\$ 1000.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets		Extra Sheets		Number of each additional 50 or fraction thereof (round up to a whole number)	
0 -100		0 /50 =		0.00	
				RATE	
				\$0.00 (1681)	
				\$0.00 (2681)	
Surcharge of \$130.00 (1617)/\$65.00 (2617) for furnishing the oath or declaration later than <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
CLAIMS		NUMBER FILED		# EXTRA	
Total Claims		15		minus 20 = 0 X	
Independent Claims		5		minus 3 = 2 X	
				RATE	
				\$50.00 (1615)/ \$25.00 (2615)	
				\$200.00 (1614) \$100.00 (2614)	
				\$360.00 (1616)/\$180.00 (2616)	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)					
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253)/\$510.00 (2253); Four Month Extensions \$1590.00 (1254)/\$795.00 (2254)					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.					
Processing fee of \$130.00 (1618), for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					
TOTAL NATIONAL FEE =					
\$ 1400.00					
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property					
+					
\$ 40.00					
Fee for Petition to Revive Unintentionally Abandoned Application; \$1500.00 (1453) / \$750.00 (2453)					
+					
\$ 0.00					
TOTAL FEES ENCLOSED =					
\$ 1440.00					
Amount to be: refunded \$					
Charged \$					
a. <input type="checkbox"/> A check in the amount of \$1440.00 to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees.					
A duplicate copy of this form is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.					
d. <input checked="" type="checkbox"/> CREDIT CARD PAYMENT FORM ATTACHED.					
e. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/JP2003/009054 and any U.S. and foreign application(s) corresponding thereto, and JP 2002-220348, referred to in this application is/are hereby incorporated by reference in this application.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status.					
CORRESPONDENCE ADDRESS					
Direct all correspondence to:					
<input checked="" type="checkbox"/> Customer Number: 23117					
Type Customer Number here					
Telephone: (703) 816-4000					
HWB:lmv					
H. Warren Burnam, Jr.					
NAME					
29,366					
January 26, 2005					
REGISTRATION NUMBER Date					